



10/762, 107.

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Docket No: 208822/2022  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Letters Patent of:

Bachmann et al.

Patent No.: 7,101,872

Issued: September 5, 2006

For: Farnesyl Dibenzodiazepinone, and  
Processes for its Production

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a**

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Elizabeth Taylor

Name of Person Mailing

*Elizabeth Taylor*  
Signature of Person Mailing Paper

**TRANSMITTAL LETTER**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**

**OCT 23 2006**

**of Correction**

Enclosed for filing the above-identified patent application, please find the following documents:

1. Request for Certificate of Correction;
2. Certificate of Correction;
3. Copy of Request to Change Inventorship; and
4. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 04/1105, Reference 208822/2022. A duplicate of this transmittal letter is enclosed for this purpose.

Dated: October 16, 2006

Respectfully submitted,

By *Mark J. Fitzgerald*  
Mark J. Fitzgerald, Ph.D., J.D.

Registration No.: 45,928

Edwards Angell Palmer & Dodge LLP  
P.O. Box 55874

Boston, Massachusetts 02205

(617) 439-4444

Attorneys/Agents For Applicant

**OCT 23 2006**



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Patent No.: 7,101,872

Issued: September 5, 2006

For: Farnesyl Dibenzodiazepinone, and  
Processes for its Production

**REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 CFR 1.322**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentee noted that the inventorship listed on the patent is incorrect. The correct inventorship, as stated in the Request to Change Inventorship Under 35 U.S.C. §1.48(b) filed December 12, 2005 in the application (U.S.S.N. 10/762,107) that issued as the instant patent is:

Brian O. Bachmann, Nashville, TN (US);  
James B. McAlpine, Montreal (CA); and  
Mahmood Pirae, Montreal (CA).

Two individuals, Emmanuel Zazopoulos and Chris M. Farnet, who were initially listed as inventors, were removed as inventors under 37 C.F.R. §1.48(b) due to the cancellation of claims during prosecution. Therefore, the attached proposed certificate of correction notes the following changes:

On the Cover Sheet:

At page 1, please correct the Inventors as follows:

Remove "Emmanuel Zazopoulos, Montreal (CA); Chris M. Farnet, Outremont (CA)."

OCT 23 2006

A copy of the Request to Change Inventorship Under 37 C.F.R. §1.48(b) filed December 12, 2005 in U.S.S.N. 10/762,107, including the authorization to charge the necessary processing fee for that request to Deposit Account No. 16-0085, reference 208822/2022 is enclosed.


Transmitted herewith is a proposed Certificate of Correction effecting such amendment.

Because Applicants requested, in the December 12, 2005 filing, the change of inventorship under provisions permitting such change where, due to cancellation of claims during prosecution, the inventorship of the allowed claims differs from that originally listed, it is submitted that this request DOES NOT fall within the provisions of 35 U.S.C. 256 or 37 C.F.R. §1.324(b). As such, while it is submitted that there was no deceptive intent on the part of the inventors, it is believed that no petition and inventor or assignee statements to that effect and no petition fee are required in this instance.

Patentee respectfully requests the granting of the requested Certificate of Correction.

Dated: October 16, 2006

Respectfully submitted,

By   
Mark J. FitzGerald, Ph.D., J.D.

Registration No.: 45,928  
EDWARDS ANGELL PALMER & DODGE  
LLP  
P.O. Box 55874  
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Attorneys/Agents For Applicant

OCT 23 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,101,872  
APPLICATION NO. : 10/762,107  
ISSUE DATE : September 5, 2006  
INVENTOR(S) : Bachmann et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**Change Line (75):**

**Inventors:** Brian O. Bachmann, Nashville, TN (US); James B. McAlpine, Montreal (CA); Mahmood Piraei, Montreal (CA)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Mark J. Fitzgerald, Ph.D., J.D.  
Edwards Angell Palmer & Dodge LLP 1  
P.O. Box 55874  
Boston, Massachusetts 02205

OCT 23 2006



Express Mail Label No.: EV239987917US

Date of Deposit: December 12, 2005

Atty. Docket No.: 8822/2022

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Bachmann, et al,  
Serial No.: 10/762,107  
Filed: January 21, 2004  
Entitled: Farnesyl Dibenzodiazepinone, and  
Processes for its Production

Examiner: B. Kifle  
Group Art Unit: 1624  
Notice of Allowance Dated: 10/4/05  
Conf. No.: 4987

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

**REQUEST TO CHANGE INVENTORSHIP  
UNDER 35 U.S.C. §1.48(b)**

Dear Sir:

Due to the cancellation of claims during prosecution of the above-noted U.S. patent application, fewer than all of the currently named inventors are the actual inventors of the invention as claimed in the allowed claims.

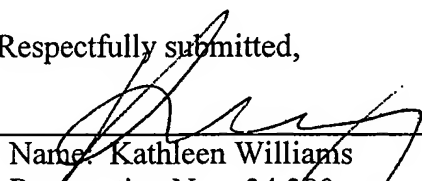
Applicant's representative therefore requests, under 35 U.S.C. §1.48(b), correction of the inventorship on the above-noted application as follows:

Delete Emmanuel Zazopoulos and Chris M. Farnet as inventors. These inventors' invention is no longer being claimed in this application.

The Commissioner is authorized to charge the processing fee of \$130.00, due under 37 C.F.R. §1.17(i), and any other necessary fees, to Deposit Account No. 16-0085, Reference 8822/2022.

Respectfully submitted,

Date: December 12, 2005

  
Name: Kathleen Williams  
Registration No.: 34,380  
Customer No.: 29933  
Edwards Angell Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100

OCT 23 2006